

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

CHRISTIAN OCHS ET AL.

Serial No.: Unknown
(U.S. National Phase of PCT/EP2003/009053)

Filed: Herewith

For: ORGANOSILICON COMPOUNDS COMPRISING
CYCLODEXTRIN RADICALS

Attorney Docket No.: WAS0680PUSA

**INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97(b)(1)**

Commissioner for Patents
U.S. Patent & Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and §§ 1.97-1.98, the references listed and identified on the attached Forms PTO/SB08A and/or SB08B are being submitted herewith for consideration by the Examiner. This Statement is being filed in accordance with 37 C.F.R. § 1.97(b)(1).

While this Statement is being filed in compliance with the duty of disclosure, citation of the listed references is not to be construed as an admission that any of the references are "material" as defined under 37 C.F.R. § 1.56(b).


Accompanying the Information Disclosure Statement is the statement of Dr. Angelika Budczinski describing the content of the references cited in the Information Disclosure Statement.

No copies of the listed U.S. patent references or the listed U.S. patent application publication references have been included herewith pursuant to 37 C.F.R. § 1.98(a)(2). All other references have been provided as required. Consideration and entry into the record of the listed references is respectfully requested.

Respectfully submitted,

CHRISTIAN OCHS ET AL.

Date: February 8, 2005

By: 
William G. Conger
Reg. No. 31,209
Attorney/Agent for Applicant

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Southfield, MI 48075-1238
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Substitute for Form 1449A/PTO

(use as many sheets as necessary)

Application Number

Filing Date

Filed Herewith

First Named Inventor

Christian Ochs et al.

Group Art Unit

Examiner Name

Sheet

1

of

3

Attorney Docket Number

WAS0680PUSA

[illegible]

Examiner Initials ²	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ³
		Office ³	Number ⁴	Kind Code ⁵ (if known)				
		DE	195 20 967 A1		Consortium Elek.	12/12/96		
		DE	43 24 636 A1		Schurig, Volker	05/11/94		
		DE	198 31 073 A1		Wacker-Chemie	01/13/00		
		DE	195 20 989 A1		Consortium Elek.	12/12/96		
		DE	42 08 402 A1		Frank, Harmut	09/23/93		
		EP	0 586 322 A1		Guillot, Francis	03/09/94		
		PL	178362 .		Instytut Chemil	12/23/96		
		WO	96/31540		Wacker-Chemie	10/10/96		
		JP	7-25203			10/03/95		

Examiner
Signature

Date _____

Date Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

10/523909

DT05 PCT/PTO 08 FEB 2000

PTO/SB/08B (10-96) [reproduced]

Approved for use through 10/31/99. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Substitute for Form 1449B/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Complete if Known

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)			Application Number		
			Filing Date		Filed Herewith
			First Named Inventor		Christian Ochs et al.
			Group Art Unit		
			Examiner Name		
Sheet	2	of	3	Attorney Docket Number	WAS0680PUSA

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials [*]	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		Derwent Abstract Corresponding to DE 195 20 967 A1.	
		Derwent Abstract Corresponding to DE 43 24 636 A1.	
		Derwent Abstract Corresponding to DE 198 31 073 A1.	
		Derwent Abstract Corresponding to DE 195 20 989 A1.	
		Derwent Abstract Corresponding to DE 42 08 402 A1.	
		Derwent Abstract Corresponding to EP 0 586 322 A1.	
		Derwent Abstract Corresponding to PL 178362 B1.	
		Derwent Abstract Corresponding to WO 96/31540.	
		Derwent Abstract Corresponding to JP 7-252303.	
		Yi et al., "New Permethyl-Substituted β -Cyclodextrin Polysiloxanes for Use as Chiral Stationary Phases in Open Tubular Column Chromatography", J. ORG. CHEM. 1993, Vol. 58, pages 4844-4850.	
		Abstract CAS. Online, JOURNAL OF MICROCOLUMN SEPARATIONS (1996), Vol. 8(4), pages 249-257.	
		Abstract CAS. Online, JOURNAL OF MICROCOLUMN SEPARATIONS (2000), Vol. 12(9), pages 475-481.	

Examiner Signature		Date Considered	
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¹Unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.

Substitute for Form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>			Complete if Known		
			Application Number		
			Filing Date	Filed Herewith	
			First Named Inventor	Christian Ochs et al.	
			Group Art Unit		
			Examiner Name		
Sheet	3	of	3	Attorney Docket Number	WAS0680PUSA

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		Abstract CAS -Online, Annals of West University of Timisoara, SERIES OF CHEMISTRY (1996), Vol. 5, Pages 43-48.	
		M. Ben Ali et al., "Cyclodextrin-polymethylhydrosiloxane gel as sensitive membrane for heavy ion sensors"; MATERIALS SCIENCE AND ENGINEERING C6 (1998), pages 53 - 58.	
		S.K. Young et al., "Cyclodextrin based Polymers: Nanomaterials With Built-In Scavenging Capabilities"; POLYMER PREPRINTS 2001, Vol. 42(2), 162-163	
		M. Tanaka et al., "Applicability of Modified β -Cyclodextrin Stationary Phases for Gas Chromatography"; FRESENIUS Z ANAL CHEM. (1983), Vol. 316, pages 54 - 55.	

Examiner Signature		Date Considered	
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ORGANOSILICON COMPOUNDS COMPRISING CYCLODEXTRIN RADICALS

Information Disclosure Statement

Honorable Commissioner of Patents and Trademarks
Washington, D.C. 20231

Sir or Madam:

I, Angelika Budczinski, associated with the preparation and prosecution of the above-identified application, residing at Ossannastraße 16, 80999 München, Germany, wish to call the attention of the Patent Examiner to the references enumerated on the enclosed PTO Form-1449.

I believe the documents enumerated on the enclosed Form PTO-1449 and attached thereto, are cited in the enclosed application/European/International Search Report and may be material to the examination of the application.

Therefore, it is respectfully requested that the foregoing Information Disclosure Statement be considered by the Examiner and incorporated into the file of this application.

I wish to comment as follows concerning the prior art references enumerated on PTO Form-1449:

DE 195 20 967 A1 a Derwent abstract in English language is enclosed.

DE 43 24 636 A1 a Derwent abstract in English language is enclosed.

DE 42 08 402 A1 a Derwent abstract in English language is enclosed.

DE 198 31 073 A1 a Derwent abstract in English language is enclosed.

DE 195 20 989 A1 a Derwent abstract in English language is enclosed.

JP 7-252303 a Derwent abstract in English language is enclosed.

US 5,268,442 A is already in English language.

US 5,403,898 A is already in English language.

EP 0 586 322 A1 a Derwent abstract in English language is enclosed.

PL 178362 B1 a Derwent abstract in English language is enclosed.

WO 96/31540 a Derwent abstract in English language is enclosed.

YI ET AL.: "New Permethyl-Substituted β -Cyclodextrin Pylsiloxanes for Use as Chiral Stationary Phases in Open Tubular Column Chromatography", J. Org. Chem. 1993, Vol. 58, Pages 4844-4850 in English language.

M. B. ALI ET AL.: "Cyclodextrin-Polymethylhydrosiloxane gel as sensitive membrane for heavy iron ion sensors", Materials Science and Engineering C6 (1998), Pages 53-58 in English language.

S. K. YOUNG ET AL.: "Cyclodextrin-based Polymers: Nanomaterials with built-in seavenging capabilities", Polymer Preprints 2001, Vol. 42 (2), Pages 162-163 in English language.

M. TANAKA ET AL.: "Applicability of modified β -Cyclodextrin Stationary Phases for Gas Chromatography", Fresenius Z. Anal. Chem. (1983), Vol. 316, Pages 54-55 in English language.

CAS-Online: Journal of Microcolumn Separations (1996), Vol. 8 (4), Pages 249-257 in English language.

CAS-Online: Annals of West University of Timisoara, Series of Chemistry (1996), Vol. 5, Pages 43-48 in English language.

CAS-Online: Journal of Microcolumn Separations (2000), Vol. 12 (9), Pages 475-481 in English language.

Signed this 2nd day of February, 2005.



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Dr. Angelika Budczinski